

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3629 #3 ET 10-23-02

In re patent application of:

Jason A. Gordon

) Attorney Docket No.: F-506

) Customer No.:

00919

PATENT TRADEMARK OFFICE

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GROUP 3600

Serial No.: 10/064,264 Group Art Unit: TBD

Filed: June 26, 2002

) October 1, 2002

For: SYSTEM AND METHOD FOR OPTIMIZING POSTAL RATES AND

**DISCOUNTS** 

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant submits herewith patents, publications or other information of which Applicant is aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" against this application unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. [X] 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR.

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)

- 2. [ ] 37 CFR §1.97(c) This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:
  - 2.1 [ ] Specified Statement under 37 CRF § 1.97(e)(1) see below.

    OR

    2.2 [ ] Specified Statement under 37 CRF § 1.97(e)(2) see below.

    OR

    OR
    - 2.3 [ ] Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).
    - \*\* Provisional Fee Authorization. If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. 16-1885 in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

- 3. [ ] 37 CFR §1.97(d) This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:
  - 3.1.1[ ] Specified Statement under 37 CRF § 1.97(e)(1) see below. OR
  - 3.1.2[ ] Specified Statement under 37 CRF § 1.97(e)(2) see below. AND
    - 3.2 [ ] Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

<u>Specified Statement under 37 CRF § 1.97(e)(1)</u> - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CRF § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,

George M. Macdonald

Reg. No. 39,284 Attorney of Record

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PITNEY BOWES INC. Intellectual Property and Technology Law Department 35 Waterview Drive P.O. Box 3000 Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)

One copy of each of the references

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

On October 1, 2002

Date of Deposit

Signature

George M. Macdonald Name of Registered Rep.

October 1, 2002

Date

OCT 0 4 2002

FORM PTO-1449 (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Atty. Docket Number F-506	Serial No. 10/064,264					
Applicant Jason A. Gordon						
Filing Date June 26, 2002	Group TBD					

### **U.S. PATENT DOCUMENTS**

		DATE	TE NAME		SUBCLASS	FILING DATE	
INITIAL		NUMBER					IF APPROPRIATE
	AA	4,949,272	8/14/90	Vanourek et al.	364	464.02	12/16/88
	AB	5,008,827	4/16/91	Sansone et al.	364	464.02	12/16/88
,	AC	5,936,865	8/10/99	Pintsov et al.	364	478.14	9/16/96
	AD	6,334,108 B1	12/25/01	Deaton et al.	705	14	10/21/96
	AE	2001/0044788 A1	11/22/01	Demir et al.	705	400	3/12/01
	AF	2001/0051932 A1	12/13/01	Srinivasan et al.	705	400	3/13/01
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	AJ				GROU	2600	
	AK				<del>anoo</del>		

### FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
AL	WO 01/29744 A2	3/15/00	PCT	G06F	17/60	No
AM	WO 01/29746 A2	3/15/00	PCT	G06F	17/60	No
AN	WO 01/37112 A2	11/6/00	PCT	G06F	17/00	No
AO	WO 01/54017 A1	3/13/00	PCT	G06F	17/60	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR				
EXAMINER					DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.